

Welcome

Thank you for your interest in PROVEN Wealth Limited. Our Mission is to meet your wealth management goals through sound investment advice and innovative financial

solutions. To become a PROVEN client the following documents and information are

required: Articles of Incorporation or Articles of Association & Memorandum of Association Certificate of Incorporation Board resolution authorizing the opening of the account & the purpose for doing so Copy of last annual Return filled with Companies office or Letter of good standing Copy of company TIN Financial Statements	Copy of company TCC or TCL List of Signatories, specimen and IDs List of beneficial owners and directors Proof of Address of company, authorized signers, directors and majority shareholders Source of funds being used to open the account as well as (projected) source of future investments
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Desired Product(s)/Service(s):

Unit Trust / Mutual Funds	Equity Trading	Managed Funds (Portfolio)
Repurchase Agreements	Bond Trading	Cambio Trading
Exempt Distributions	Credit Facilities	Corporate Financing

Delivering premium value to you is critical to our success.

We Are PROVEN for your Wealth and we look forward to serving you!



President & CEO
PROVEN Wealth - Region 2

Company Name:

Tax Information Number (TIN):

Company Address (Registered):

City: Parish/State: Zip: Country:

Phone: Fax: Email:

Company's Trading Address:

City: Parish/State: Zip: Country:

Company Type:

Partnership	Corporation	Sole Proprietorship	Bank/Trust Company	Insurance Company
State Agency	Broker/Dealer	Estate	Investment Club	Nominee
Unit Trust/Mutual Fund	Other			Trust

Principal Business Activity:

Names of Principal Shareholders:

Names of Directors: Use addendum for additional Principal Shareholders:

Names of Senior Managers: Use addendum for additional directors

Names of Beneficial Owners: Use addendum for additional Senior Managers

Names of Trustees: Use addendum for additional Beneficial Owners

Settlers: Use addendum for additional Trustees

Use addendum for additional Settlers

Beneficiaries:

Use addendum for additional Beneficiaries

Protector:

Use addendum for additional Protector

Enforcer:

Use addendum for additional Other Connected Parties

Other Connected Parties:

Use addendum for additional Enforcer

Tax Rate:

Country of Incorporation:

Statement Delivery Options:

Email Postmail

Tax Compliance Status:

Up to Date and Compliant Not up to date/compliant

Source of Funds:

Projected Funds:

Source of Wealth:

Projected Monthly Transaction Frequency and amounts.

1 - 3 4 - 6 7 - 9 10 - 12 Under J\$50k J\$50k - 250k J\$250k - J\$1mil >J\$1mil

Risk Profile:

Preservation of Capital Conservative Income Income and Conservative Growth
Balanced Income and Growth Moderate Growth Aggressive Growth

Method of Introduction:

Press Advertisement Radio Advertisement TV Advertisement Client Referral Employee Referral Internet
Other

Description of Major Suppliers:

Description of Major Customers/Main Target Market:

Bank Name:

Bank Address:

City:

Parish/State:

Zip:

Country:

Full Discretion - Client grants full authority without consultation.

Partial Discretion - Client must be contacted before execution of any trade/transaction

Custody Only - No discretion to trade; assets are for safe-keeping and reporting only

Other

Transaction Type:

Buying Selling Both Buying and Selling

Transaction Frequency:

Daily Weekly Monthly

Currencies:

USD GBP CAD Other

To: PROVEN Wealth Limited

Whereas it would be convenient and in my/our interests if I/we could at any time and from time to time send instructions by means of:

- (i) Facsimile transmission (meaning the sending of transmissions between fax machines via the telephone network. Instructions sent by such transmissions are hereinafter referred to as "fax instructions"); and/or
- (ii) Electronic mail (meaning the sending of transmissions electronically between computers via the telephone network or wireless communication. Instructions sent by such transmissions are hereinafter referred to as e-mail instructions"), to PROVEN Wealth Limited (hereinafter referred to as "PROVEN") in relation to any and all my/our existing accounts, facilities, transactions and other arrangements with PROVEN and any accounts, facilities, transactions and other arrangements which I/we may now or in the future have with PROVEN.

DECLARATION

Now in consideration of PROVEN at my/our request (which request I/we hereby make to PROVEN) accepting and/or acting on fax instructions and/or e-mail instructions purportedly received from me/us as aforesaid, and for other good and valuable consideration moving from PROVEN the receipt and sufficiency of which I/we hereby acknowledge, I/we HEREBY AGREE:

1. That PROVEN may act on any fax instructions and/or e-mail instructions received by PROVEN and purportedly sent by me/us from time to time, and I/we voluntarily and with full knowledge take and assume any and all risks associated therewith;
2. That where fax instructions and/or e-mail instructions received by PROVEN have purportedly been sent or given by the person (or by any of the persons, if more than one) specified below, PROVEN shall have no obligation to check or verify the authenticity or accuracy of such fax instructions and/or e-mail instructions and may act thereon as if same had been duly sent or given by me/us;
3. That in acting on such fax instructions and/or e-mail instructions, PROVEN shall be deemed to have acted properly and to have fully performed all obligations owed to me/us, notwithstanding that such fax instructions and/or e-mail instructions received by PROVEN and purportedly sent by me/us may have been initiated, sent or otherwise communicated in error or fraudulently, and I/we shall be bound by any received by PROVEN and purportedly sent by me/us from time to time on which PROVEN may act if PROVEN has in good faith acted in the belief that such fax instructions and/or e-mail instructions were given by me/us;
4. That PROVEN may, in its absolute discretion, decline to act on or in accordance with the whole or any part of any fax instructions and/or e-mail instructions pending further enquiry to or further confirmation (whether written or otherwise) by me/us, so however that PROVEN shall not be under any obligation to so decline in any case, and PROVEN shall in no event or circumstances be liable in any respect either for declining or not so declining;
5. That I/we hereby release PROVEN from, and hereby agree to indemnify and hold PROVEN harmless against, all claims, losses, damages, costs and expenses howsoever arising in consequence of, or in any way related to, PROVEN having acted in accordance with the whole or any part of any fax instructions and/or e-mail instructions purportedly received from me/us (or anyone of us, if we are more than one) or having exercised (or failed to exercise) the discretion conferred upon PROVEN in Clause 4 above; and
6. That PROVEN may rely on and enforce against me/us (and against my successors and assigns) the provisions set forth in this instrument.

Email:	Email:
Signature:	Date:
Signature:	Date:

I/We the undersigned, being the person(s) specified above (hereinafter, together jointly and severally if more than one, referred to as "the client") hereby request Proven Wealth Limited of 2-4 Gladstone Drive, Kingston 10, Jamaica, a company duly incorporated under the laws of Jamaica (herein referred to as "the Company") to open one or more accounts in my/our name(s) with respect to instruments issued to me/us by and/or securities and other investments held by me/us through the Company, and all accruals, payments, receipts and transactions relating thereto.

I/We acknowledge and agree that the Company's General Terms and Conditions attached hereto, as varied by the Company from time to time, are accepted by and are binding on me/us, and shall govern the said account(s) and all instruments issued to me/us by and/or securities and other investments held by me/us through the Company, and all accruals, payments, receipts and transactions relating thereto. I/We hereby agree to abide by and comply with the provisions set forth in the said General Terms and Conditions attached hereto, as varied by the Company from time to time. I/We hereby acknowledge having received a copy of this Client Account Agreement and the said General Terms and Conditions.

Account Signing Instructions:

Any One	Any Two	Any Three	Any Four	All Required	Other
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Special Instructions:

Name:	CIF #:	Signature:	Date:
Name:	CIF #:	Signature:	Date:
Name:	CIF #:	Signature:	Date:
Name:	CIF #:	Signature:	Date:
Name:	CIF #:	Signature:	Date:
Name:	CIF #:	Signature:	Date:



TO BE COMPLETED BY PROVEN WEALTH PERSONNEL ONLY

ACCOUNT EXECUTIVE/PFA

Name:

Signature:

Date:

APPROVING OFFICER

Name:

Signature:

Date:

APPROVED (COMPLIANCE MANAGER)

Name:

Signature:

Date:
